



# Initiation Plan / GEF PPG

Empowered lives.  
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**Project Title: Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines.**

**Country:** The Philippines

**Country Programme Outcome:**

Country Programme Outcome 2: Urbanization, economic growth, and climate change actions are converging for a resilient, equitable and sustainable development path for communities

**Gender Marker rating:** GEN 2

**SESP Pre-Screening Categorization:** Moderate

<p>ATLAS Award ID: 00116590</p> <p>ATLAS Project/Output ID: 00113663</p> <p>PIMS number: 6500</p> <p>Management Arrangement: DIM</p>	<p><b>Total budget:</b> <b>US\$ 150,000</b></p> <p>Allocated resources:</p> <ul style="list-style-type: none"> <li>• GEF US\$ 150,000</li> <li>• Government US\$ 0</li> <li>• UNDP US\$ 0</li> </ul>
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AGREED BY

22 June 2020

*Day/Month/Year*

Titon Mitra  
Resident Representative  
UNDP Philippines Country Office

Signature

Date

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## I. BRIEF DESCRIPTION OF THE INITIATION PLAN/GEF PPG

### **Objective & Final Outputs**

The objective of the GEF PPG is to develop the project concept into a full project: Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines. As described in the project concept (PIF), this project aims to create an enabling environment for the realization of the National Land Degradation Neutrality (LDN) target and to mainstream biodiversity-friendly agricultural practices (BDFAP) in the Cagayan de Oro River Basin (CDORB) through national policy framework implementation and capacity strengthening. More specifically the project will focus on three distinct project outcomes which are: **(1)** Enabling policy environment created for LDN and BDFAP and capacity for integrated landscape management enhanced at sub-national level leading to improved biodiversity and ecosystem services in the Cagayan de Oro River Basin (CDORB) (*covering Statute development for multi-sectoral coordination, Guideline development for fund raising, optimization and use of strategy and trade-off analysis tools, revision of CDORB Comprehensive Land Use Plan, and capacity building of CDORB Management Council (CDORBMC) and other sub-national level entities*); **(2)** Improved management of 58,159 ha of cultivated landscapes ensured by smallholder farmers, IP communities and multi-national companies through adoption of SLM practices and BDFAP (*covering adoption of SLM practices and BDFAP, demonstration of traditional agrobiodiversity farming systems, development of markets and marketing strategies for selected local-traditional crops, establishment of incentive schemes including payment for ecosystem services and implementation of SLM mainstreaming guidelines*); and **(3)** Capacity and awareness of stakeholders raised on SLM, LDN, BDFAP and integrated landscape management approaches by effective knowledge management, and M&E (*covering development of knowledge and communication products, documenting traditional agrobiodiversity knowledge and practices, establishing and running an online knowledge exchange portal and project monitoring and evaluation*).

The following information is to be consulted as background for the GEF PPG phase:

- GEF Council approved PIF
- SESP pre-screening (of PIF)
- Comments from GEF Secretariat, Council, STAP
- Annotated UNDP-GEF Project Document Template and associated guidance included therein
- UNDP policies and procedures
- UNDP-GEF guidance notes on GEF project development (which will be provided by the RTA) and
- GEF policies, in particular GEF policies on: Project Cancellation; Fee Policy for GEF Partner Agencies; Project and Program Cycle; and Minimum Fiduciary Standards for GEF Partner Agency (in particular sections dealing with the required separation of oversight and execution support services). All GEF policies are available [here](#).

The final outputs of the GEF PPG are:

1. UNDP-GEF Project Document (ProDoc).
2. Mandatory annexes to the ProDoc listed in the Annotated UNDP-GEF Project Document Template.
3. GEF CEO Endorsement Request and all mandatory annexes; and
4. Validation Workshop report (required for projects with a high SESP categorization; as appropriate for all others).

Any additional studies and other reports produced under the GEF PPG and not included in Annex to the project document will be submitted to UNDP and saved for future reference.

### **Key Dates for the GEF PPG**

<b>Milestone</b>	<b>Date</b>	<b>Notes</b>
<b>Internal submission date</b> for UNDP-GEF review and clearance	3 March 2021	10 months of PIF approval for FSPs.
<b>First GEF Submission Deadline</b> for CEO Endorsement	3 May 2021	First submission must be within 12 months of PIF approval for FSPs. Failure to submit a ProDoc and CEO ER to the GEF Sec by this date will lead to the automatic cancellation of the project by the GEF Sec.
<b>CEO Endorsement Deadline</b> after which the project will be cancelled if not endorsed	3 December 2021	Endorsement must be within 18 months of PIF approval for FSPs. If the project has not been CEO endorsed by this date, then it will be automatically cancelled by the GEF Sec.

### **Management Arrangements**

The UNDP Philippines Country Office will lead the project development process and manage the GEF PPG budget in full consultation with the BPPS/GEF Technical Adviser. The GEF PPG Atlas budget is presented in *Section IV: Total Budget and Work Plan*.

As appropriate, a Working Group will guide the GEF PPG team, and review and endorse the GEF PPG deliverables. The Working Group is responsible for ensuring that the deliverables outlined in this GEF PPG are completed on time and in line with UNDP and GEF requirements. The Department of Agriculture - Bureau of Soil and Water Management (DA-BSWM) will chair the Working Group being the Implementing Partner. Working Group members will include the following:

- Department of Agriculture Central Office (DA)
- Department of Energy and Natural Resources (DENR)
- DENR – Biodiversity Management Bureau (BMB)
- DENR – Forest Management Bureau (FMB)
- Department of Human Settlements and Urban Development (DHSUD)
- National Economic and Development Authority (NEDA)
- Department of Interior and Local Government (DILG)
- National Commission on Indigenous Peoples (NCIP)
- Cagayan de Oro River Basin Management Council (CDORBMC)
- Philippine Statistics Authority (PSA)
- NGOs (SAMDHANA Institute, Haribon)

For DA and DENR Central Offices, the following Offices will be consulted as needed:

- DA Central Office: Special Projects Coordination and Management Assistance Division or SPCMAD, Project Development Service, Policy Research Service, Field Operations Service, Systems-Wide Climate Change office or SWCCO, Special Area for Agricultural Development or SAAD, Agricultural Training Institute and Bureau of Agricultural Research or BAR
- DENR Central Office Central Office (Foreign-Assisted and Special Projects Services or FASPS, Policy and Planning Service or PPS and River Basin Control Office or RBCO)

Additionally, the following Offices which are key decision-makers for the CDO River Basin will be fully involved during stakeholder consultations:

- DENR – Region XI and Provincial Offices of Bukidnon and Misamis Oriental
- DA – Region XI and Provincial Offices of Bukidnon and Misamis Oriental
- NCIP - Region XI and Provincial Offices of Bukidnon and Misamis Oriental
- Regional Development Council

The GEF PPG team will be composed of the consultancies described in the draft Terms of Reference (TORs) in Annex 2 and includes the following consultancies:

1. International GEF Project Development Specialist (GEF PPG Team Leader)
2. Natural Resource Management (NRM) and Sustainable Land Management Specialist (SLM) Specialist (Lead National Consultant)
3. National Biodiversity and Sustainable Land Management Policy Specialist
4. National Environment and Social Safeguards (ESS) Specialist
5. National Stakeholder Engagement and Gender Specialist
6. PPG Project Coordinator

The GEF PPG team will be composed of the consultancies described in the draft Terms of Reference (TORs) in Annex 2.

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## II. STAKEHOLDER ENGAGEMENT, PUBLIC DISCLOSURE AND OTHER REQUIREMENTS

To ensure strong country ownership, and in line with the stakeholder engagement requirements outlined in UNDP's [Social and Environmental Standards](#) (SES), the [SES Guidance Note of Stakeholder Engagement](#), the GEF's [Guidelines on the Implementation of the Policy on Stakeholder Engagement and the GEF Policy on Gender Equality](#), the development of the project to be undertaken during this GEF PPG phase will be done in full consultation and close engagement with government, CSO and other relevant stakeholders – in particular those who will benefit from and be directly involved in the implementation of the project (i.e. direct project beneficiaries) and those who may be impacted (positively or negatively) by the project. Stakeholder Engagement and analysis must be conducted in an inclusive and gender-responsive manner, so that the rights of women and men and the different structural barriers, knowledge, needs, roles and interests of women and men are recognized and addressed.

Careful and complete documentation of stakeholder engagement is vital. Detailed evidence of all consultations will be prepared and submitted to UNDP. A list of the consulted stakeholders and details of the associated meetings will be included in the Stakeholder Engagement Plan (see section B-d below).

If the fully designed project has an overall Social and Environment Screening Procedure (SESP) categorization of moderate or high, then the following disclosure requirements apply:

1. A final validation workshop report will be prepared summarizing the outcomes of the validation workshop and other consultations undertaken during the PPG phase. This report will become a key reference document should an environmental and social complaint/grievance be filed during project implementation. This is mandatory for high risk projects and recommended for moderate risk projects.
2. The UNDP-GEF project document, SESP and related draft management plans, and other relevant information/documents, will be made available to the public on the UNDP Country Office website

or [open.undp.org](http://open.undp.org). It is recommended to make these documents available for 30 days in advance of the LPAC meeting for moderate risk projects, and 120 days in advance for high risk projects.

See the [SES Supplemental Guidance on Disclosure](#) for more information.

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### III. GEF PPG ACTIVITIES

#### Component A: Preparatory Technical Studies & Reviews

The following technical studies and reviews will be conducted.

##### a. Desktop and field-based studies and data collection

This research should produce the background information required to prepare the ProDoc (including its Annexes) and CEO Endorsement Request, including but not limited to:

- Development challenge and strategy (including threats, problems and barrier assessment);
- Review of national policy and legislative frameworks;
- Problem and solution trees, assumptions and risks, developed in consultation with project stakeholders, for a robust Theory of Change, Results Framework and solid M&E plan;
- Review of relevant past and ongoing projects for lessons, including [project evaluations](#); and
- Any other analyses required to address all comments on the PIF received from GEF Secretariat, GEF Council members and STAP

Technical studies and reviews to be undertaken supporting the project outcomes are discussed below.

*Project Outcome 1.* Enabling policy environment created for LDN and BDFAP and capacity for integrated landscape management enhanced at sub-national level leading to improved biodiversity and ecosystem services in the Cagayan de Oro River Basin (CDORB).

This outcome has a project engagement at both national and sub-national levels. At national level, the project will facilitate the creation of a national LDN regulatory framework with mechanisms for multi-sectoral coordination and mainstreaming, as well as financing. It will provide technical assistance in the preparation of a Joint Administrative Order or any applicable instrument that outlines the LDN regulatory framework, which is an essential prerequisite that needs to be in place in order to obtain an institutionalized and long-term joint agency cooperation and coordination towards meeting the country's LDN targets through the implementation of BDFAP and SLM practices not only within the proposed project area, but within the Philippines. Parallel efforts will be carried out to review and revisit the Biodiversity-Friendly Agricultural Practices Framework to include financing mechanisms and facilitate the issuance of a Joint Administrative Order by the DENR, DA and DILG, to enable nation-wide implementation of the BDFAP Framework.

The PPG process will undertake the following reviews and/or assessments:

- Review of international, national and local frameworks and policies related to integrated landscape management, LDN, SLM and BDFAP
  - Review and analysis of international LDN and BDFAP-related agreements, frameworks and policies to identify status and gaps of efforts of the Philippine government in relation to the country's compliance;

- Review and analysis of LDN and BDFAP national frameworks, priorities, strategies and roadmaps to identify status of implementations and recommendations on improving the needed enabling environment for achieving LDN and BDFAP targets using a landscape approach, including necessary harmonization of existing policies and implementation guidelines, and those related to funding mechanisms
  - Stocktaking of LDN and BDFAP related local policies and status of implementation, including those related to formulation of Comprehensive Land Use Plans (CLUPs) vis-à-vis landscape approach interventions
  - Preparation of recommendations on improving enabling environment for an integrated landscape management approach on achieving LDN and BDFAP targets
  - Review existing national and local policies and incentive mechanisms related to SLM and BDFAP relevant financing mechanisms, including lessons learned from current financing mechanisms, e.g., Payments for Ecosystem Services (PES) implemented in CDORB, and recommendations on improving sustainability financing mechanisms for SLM and BDFAP
- Using the UNDP Capacity Development Scorecard, undertake a capacity assessment to establish baseline scores and propose mid-term and end-of-project targets for institutional and financing capacities to be developed by the Project:
    - Review existing institutional arrangements and stakeholders' capacities related to identifying and implementation of SLM and BDFAP practices, mainstreaming SLM and BDFAP in local development and budgeting processes, integrated landscape management, land use planning, sustainable financing, sustainable use and conservation of local varieties and traditional crops (traditional agrobiodiversity systems), identification of management options using trade-off and development strategy analysis tools
    - Review of existing tools, training modules and capacity-building activities related to trade-off analysis, hydrologic modelling, ecosystem service valuation, ecological cost-benefit analysis, land use scenarios and management options, among others, that can be used in in the proposed trade-off and development strategy analysis by partner government agencies, academic and research institutions and, training institutions
    - Prepare recommendations and roll-out strategy on assessment of capacity-building needs on integrated landscape management, sustainable financing and, SLM and BDFAP programming and mainstreaming at the national and local (river-basin) levels
  - Conduct national and local stakeholder mapping of government agencies, private sector, indigenous and local people communities (IPLCs), academic and research institutions and, Civil Society Organizations (CSOs) to revisit existing mandates, current initiatives and potential roles during Project implementation

*Project Outcome 2.* Improved management of 58,159 ha of cultivated landscapes ensured by smallholder farmers, IP communities and multi-national companies through adoption of SLM practices and BDFAP.

*Outcome 2* will adopt a range of SLM<sup>1</sup> practices and BDFAP in productive landscapes within the CDORB to protect important agrobiodiversity, including local varieties and traditional crops. The project will work

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<sup>1</sup>Knowledge and experience about SLM practices/technologies are available in national and global databases (PhilCAT and WOCAT), DA- BSWM, and other international conservation organizations. Examples include i) **Multi-Story Agroforestry**- a land use system or practice of combining trees, crops, livestock and/or fishery components in a given unit of land. The practice diversifies and increases crop yield, income, and total farm productivity, spreads production risks and increases adaptive capacity, improves soil cover and fertility, reduces soil loss and wind velocity, increases agrobiodiversity, increases tree cover and biomass; ii) **Contour Hedgerow** - the planting of hedgerows with nitrogen-fixing leguminous trees and other plant and/or grass species (e.g. vetiver) along the

on implementing biodiversity sensitive sustainable farming of 53,159 ha of croplands, which are managed by smallholder farmers and by MNCs. The project targeted croplands include sloping lands. In addition to the cropland managed by smallholder farmers, the project will also work with farmers and IP communities in deploying SLM practices and BDFAP in 3,962 ha of the cultivated portions of PA buffer zones, 1,963 ha of ancestral domain land, 500 ha of degraded forest lands (under Community-based forest management). As part of this, 5,000 ha of riparian areas will be restored.

During the PPG phase, the following activities will be undertaken:

- Review project profile, including geo-political and physical profile, biological resources, socio-economic profile and institutional management of CDO River Basin
- Conduct a situational analysis of CDO River Basin, including bio-physical issues, i.e., identification of environmental issues resulting from current SLM and BDFAP practices of different stakeholders utilizing CDO River Basin resources, climate change issues and concerns, key policy, institutional and financing management issues and concerns and, development opportunities
- Assessment of traditional agrobiodiversity farming systems in CDORB
  - Conduct initial stocktaking of existing traditional agrobiodiversity farming systems adopted by stakeholders in CDORB and identify status of adoption and issues concerning sustainability of these agrobiodiversity farming systems
  - Conduct assessment of existing markets and marketing strategies for specialty products from traditional agrobiodiversity
  - Prepare recommendations on sustainability mechanisms for traditional agrobiodiversity farming systems, including strategies for scaling-up markets and market linkages
- Conduct of national and local stakeholder consultations among government agencies, IPLCs, private sector and CSOs to identify current resource utilization practices within CDO River Basin, including those related to SLM and BDFAP practices, assess quality of CLUPs vis-à-vis mainstreaming improved biodiversity and SLM, and recommendations on improving SLM and BDFAP practices
- Conduct assessment of current and potential sustainable financing mechanisms, including PES, which can be adopted for improved SLM and BDFAP practices

Project Outcome 3. Capacity and awareness of stakeholders raised on SLM, LDN, BDFAP and integrated landscape management approaches by effective knowledge management, and M&E.

Outcome 3 will draw from the other outcomes to document processes, best practices, innovations, lessons learned and outcomes, which can be translated into useful communication materials or boundary objects such as policy briefs, technical advisory notes, guidelines, impact stories, as well as modules for wider dissemination especially targeting the five pilot river basins of the national LDN program. Capacity building events will also be conducted to disseminate knowledge and experiences generated from the project to various stakeholders/user-groups such as local planners, policymakers, watershed managers and practitioners, agriculture extension workers, MNCs, and students (*the youth*). Finally, the project will

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contours of sloping lands to provide vegetative barriers to soil erosion while contributing green manure to the alley crops and iii) **Organic Mulching** -the practice of applying thin layer of organic materials on the soil surface that decompose over time, conserving soil moisture, reducing soil erosion, improving soil fertility, and reducing weeds. It is a farm residue management technique of utilizing organic materials, such as locally available grasses and weeds into useful purposes. These grasses and weeds are cut or chopped into smaller sizes then applied and spread in layers over the soil surface.



engage in monitoring and evaluation (M&E) of the project, ensuring that lessons learned from the project are documented. As part of this, the implementation of the Gender Action Plan, Indigenous People's Plan, Stakeholder Engagement Plan, and the KAP surveys will be monitored.

During the PPG phase, undertaking of the following will be pursued:

- Assessment of the level awareness of stakeholders on LDN, biodiversity management, SLM and BDFAP and, related policies and procedures;
  - Conduct an initial assessment of level of awareness of stakeholders on problems and issues related to integrated landscape management, SLM, BDFAP, sustainable financing, traditional agrobiodiversity farming system that are intended to be addressed by the Project;
  - Conduct of stocktaking of existing knowledge management strategies, programs and activities and, available knowledge products/platforms/databases on integrated landscape management, SLM, BDFAP, sustainable financing, traditional agrobiodiversity farming system
  - Identify desired behaviour change and knowledge management interventions that will be developed and implemented during project implementation
  
- Assessment of existing national and local Monitoring, Evaluation and Learning (MEAL) systems that track improved management results related to integrated landscape management, SLM, BDFAP, sustainable financing, traditional agrobiodiversity farming system and, recommend necessary national and local M and E systems to be established

Please see the individual consultant's TOR in Annex 2 for specific consultancy assignments and, as noted, subsequent direction from the PPG Team Leader during the course of the PPG period will be provided.

#### **b. Gender Analysis**

A gender analysis will be prepared to fully consider the different needs, roles, benefits, impacts, risks, differential access to and control over resources of women and men (including considerations of intersecting categories of identity such as age, social status, ethnicity, marital status, etc.) and to identify appropriate measures to address these and promote gender equality and women's empowerment. See guidance available [here](#).

#### **c. Social And Environmental Standards: Screening And Assessments**

The social and environmental safeguards pre-screening (pre-SESP) prepared during the PIF design phase has initially determined the overall risk categorization of this project as *Moderate* and highlighted potential safeguard risks to be further assessed during the PPG phase.

The required targeted assessment(s) of the risks related to *Principle 1: Human Rights; Principle 2: Gender Equality and Women's Empowerment; Biodiversity Conservation and Natural Resource Management; Climate Change Mitigation and Adaptation; Community Health, Safety and Working Conditions; Displacement and Resettlement; Indigenous Peoples and Pollution Prevention and Resource Efficiency* will be undertaken. The assessment will identify ways to avoid negative environmental and social impacts where possible and if risk avoidance is not possible, then mitigation and management measures must be identified.

#### **d. Identification of Project Sites**

Based on the above reviews, and through consultation with stakeholders, the targeted project demonstration sites will be identified. This should include providing geographic coordinates, maps and

shapefiles for inclusion in the ProDoc. The Cagayan de Oro River Basin, situated in Northern Mindanao, Philippines, has been selected as the Project Area for the proposed project and project activities will be implemented in CDORB's productive landscape on an area of 58,159 ha.

**e. Financial Planning, Co-Financing and Investment Mobilized**

Co-financing and investment mobilized – as defined in the GEF Policy and Guidelines on co-financing - will be confirmed, and additional sources identified through a series of consultations with partners to ensure a coherent and sustainable financing package for the project, including post-GEF grant phase to the extent possible. The GEF is seeking high co-financing/investment mobilized to GEF grant ratios with a preference for grants, loans and other public investments over in-kind co-financing. The GEF also expects the Government to significantly support the costs associated with project execution (i.e. PMC).

**f. Stakeholder Analysis**

Building on the initial identification of stakeholders in the PIF, an appropriately-scaled analysis of project stakeholders will be undertaken. This stakeholder analysis will provide the foundation for development of the project's Stakeholder Engagement Plan and will facilitate prioritization of engagement activities with particular stakeholder groups and individuals. See the [UNDP SES Guidance Note on Stakeholder Engagement](#).

**g. Appraise and Formulate the Most Appropriate Project Implementation and Execution Modality**

The design of the project will comply with the UNDP's Program and Operations Policy and Procedures (POPP), Financial Regulations, and Programme and Project Management and Quality Standards, and UNDP-GEF guidance (which will be provided by the RTA). A full assessment of the most appropriate project implementation and management arrangements will be carried out in full consultation with the RTA, UNDP Country Office, Resident Representative (or their Deputy), the relevant government coordinating agency, and the GEF OFP.

UNDP should in general not have a role in project execution as project execution is the primary responsibility of the selected Implementing Partner (see UNDP-GEF Guidance Note for further information). Therefore, an assessment and the selection of the most appropriate Implementing Partner and/or third parties (Responsible Parties) with full capacity to execute the project must be carried out.

Project management arrangements need to be discussed and agreed early in the PPG phase. During the first two months of the PPG phase:

- For all potential Implementing Partners, carry out capacity assessments to assess their capacity to implement the project and assess all related risks. This must include HACT and PCAT assessments.
- Discuss the level of co-financing the Government and Implementing Partner are able to commit to this project. As per GEF Policy, the GEF is seeking high co-financing to GEF grant ratios and expects the Government to significantly support the costs associated with project execution (i.e. PMC) for this project.
- Based on these assessments, select and confirm the Implementing Partner for the project in consultations with all relevant stakeholders. The selected Implementing Partner must express willingness to serve as the Implementing Partner for the Project and the concerned government must agree to use the selected Implementing Partner for the Project. (Please see [UNDP's Policy on Selecting Implementing Partners](#)).

- If the Implementing Partner does not have full capacity to execute the project, and execution support is likely to be needed, discuss with the UNDP Resident Representative whether the choice of Implementing Partner is the correct choice. If not, select another Implementing Partner, if possible. If that is not an option, explore alternative options for the provision of execution support via Responsible Parties ([see UNDP Policy on Selecting Responsible Parties](#)). Discuss with the Implementing Partner the role of the responsible parties in project execution, and the execution support these parties could provide.
- Consult with the RTA on the latest guidance regarding UNDP providing support services to the Implementing Partner. If the costs for UNDP to provide support services is to be charged to the GEF project budget, the UNDP support services must be approved by the GEF Secretariat before CEO endorsement.

#### **h. Other Required Studies**

In addition to the above, for the Private Sector Partners, which are to be involved in the project, including as co-financing partners, UNDP will carry out Due Diligence reviews to ensure that the good standing of these partners is verified. Related to the project demonstration sites and project provinces, the following additional studies will be carried out during the PPG Phase:

- Socio-economic survey, including local livelihood analysis,
- Indigenous Peoples analysis, and
- Free and Prior Informed Consent (FPIC) consultations.
- Climate Screening using the STAP Climate Screening Tool<sup>2</sup> and
- Carbon sequestration calculations using the EX ACT tool.

As detailed in the individual consultant's TOR in Annex 2, and as further specified in the PPG work plan, and subsequent direction from the PPG Team Leader during the course of the PPG period.

#### **Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory and Project Specific Annexes**

Based on the technical studies and reviews undertaken under **Component A**, the UNDP-GEF Project Document will be developed (following the annotated UNDP-GEF Project Document available [here](#)), and the GEF CEO Endorsement Request (available [here](#)) will be prepared. See additional guidance notes below.

The GEF PPG Team Leader will be responsible for the consolidation and finalization of all required materials.

#### **a. Stakeholder Engagement Plan:**

At a minimum, the Plan must include the following elements:

- Stakeholders who have been and will be engaged (based on stakeholder analysis), including potentially marginalized or disadvantaged groups/individuals (the 'who');
- Key stakeholder objectives and interests (the 'why');
- Steps and actions to achieve meaningful consultation and inclusive participation, including information dissemination and any special measures required to ensure inclusive participation of marginalized disadvantaged groups/individuals the dissemination of information (the 'how');
- Breadth and depth of stakeholder engagement throughout the project cycle, and decisions that need to be made through stakeholder engagement (the 'what');

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<sup>2</sup> <https://climatescreeningtools.worldbank.org/>

- Timeline for engagement activities and how they will be sequenced, including information disclosure (the ‘when’);
- Indicators of stakeholder engagement and monitoring plan;
- Roles and responsibilities for ensuring effective stakeholder engagement through implementation of the Plan; and
- Resource requirements and associated budget.
- A project-level Grievance Redress Mechanism (GRM) will be described in the Stakeholder Engagement Plan and established in the first year of project implementation. See the [UNDP guidance on GRM](#) and [sample TOR](#).
- A comprehensive Stakeholder Engagement Plan is required.

See the [UNDP SES guidance on Stakeholder Engagement](#), the [standard SEP template](#), and the [GEF guidelines on stakeholder engagement](#).

#### **b. Gender Action Plan and Budget**

The gender analysis conducted in **Component A** along with relevant findings from the stakeholder analysis and background studies, will form the basis of a Gender Action Plan to guide gender mainstreaming during project implementation. The Gender Action Plan must include indicators, targets, timeframe, responsible party and budget which are linked to the project’s components/outputs, outcomes and activities. As part of the work of preparing the Gender Action Plan, indicators should be proposed for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions.

See guidance available [here](#).

#### **c. Social and Environmental Standards: Screening and Management Measures**

In line with the assessments conducted during **Component A** (above) and [UNDP’s Social and Environmental Standards \(SES\) policy and all associated SES Guidance Notes](#), the SESP will be updated and all risks identified in the SESP will be reflected in the risk table and risk section of the ProDoc.

Based on the required targeted assessment(s), the relevant stand-alone management plan(s) will be prepared. This includes the before mentioned Stakeholder Engagement Plan, Gender Action Plan, as well as a Livelihood Action Plan and, Indigenous Community Engagement Plan, which will include a grievance mechanism and a mechanism for Free Prior Informed Consent (FPIC).

See the [SES Guidance Note on Assessment and Management](#) for further guidance. Please contact UNDP for additional information as needed.

#### **d. GEF Core Indicators**

The CEO endorsement-stage data for the relevant Core Indicators and sub-indicators will be prepared. The completed [Core Indicators worksheet](#)—with both the original PIF-stage data and the CEO endorsement-stage data—will be annexed to the ProDoc.

The Core Indicators will be used in the project’s Results Framework, at the Objective level, where appropriate. See the [GEF policy and guidance](#).

#### **e. Completion of the Required Official Endorsement Letter**

These letters include the official letters on co-financing guarantee(s) from participating government institutions, bilateral development partners, multilateral development partners, NGOs, private sector, or others who wish to provide cash or in-kind contributions to the project.

A GEF OFP endorsement letter will also be required for any new participating countries to a global or regional program/project that was not included with the PIF/PFD submission.

Updated GEF OFP endorsements letters are also required if the requested GEF grant amount has changed since PIF/PFD approval.

#### **f. Mandatory Annexes**

In addition to the documents listed above, the following Annexes should be prepared by the GEF PPG team:

- Project map and Geospatial Coordinates of project sites
- Multi Year Work Plan
- Monitoring & Evaluation Plan
- UNDP SESP
- UNDP Risk Register
- Overview of Technical Consultancies
- GEF 7 Taxonomy
- Free Prior Informed Consent (FPIC)
- Initial Project Team Procurement Plan and TORs for key Project Team staff

Upon a request from the UNDP Regional Technical Adviser during the PPG implementation, the PPG team may be required to prepare additional annexes.

#### **g. Project Management Arrangements**

Based on the stakeholder analysis and consultations undertaken in **Component A** above, agreement(s) on project management and governance arrangements—including roles, responsibilities and accountabilities of lead and partner Agencies—will be secured early in the project development phase and will be fully detailed in the ProDoc. Standard text from the governance and management arrangement from the GEF-7 template should never be removed. All PIFs approved as of 1 March 2019 are subject to the new GEF cancellation policy. This means that consultation with GEF SEC on execution support must be agreed to during the PPG phase.

#### **h. Theory of Change**

A detailed theory of change (ToC), based on the studies and data collection undertaken in **Component A**, will be developed. The selected approach will be identified, with a clear rationale backed by credible evidence, integrating gender concerns into the approach. Additional guidance is available in the Annotated UNDP-GEF ProDoc template. See [STAP TOC Primer](#).

#### **i. Results Framework**

Based on the studies and data collection undertaken in **Component A**, the Results Framework will be further defined with appropriate Objective-level and Outcome-level quantitative and qualitative SMART indicators and mid-term and end-of-project targets. It will be designed in line with the following parameters:

- Do not include outputs or activities in the results framework. Outputs and corresponding indicators can be included in the results section and/or in Annex to the project document.
- Prepare a maximum of 2-3 indicators for the Objective and each Outcome. Aim to keep the total number of indicators in the results framework (and that require annual reporting to the GEF) to 15-16.
- Give special attention to include gender-responsive outcomes, IP-responsive outcomes and other socio-economic benefits.
- Collect baseline data for each indicator using existing national sources when feasible.
- Disaggregate indicators by sex, including number of direct project beneficiaries.
- Set realistic mid-term targets and end-of-project targets that can be achieved by project closure.
- Summarize risks and assumptions, and sources of verification/data.

See the annotated UNDP-GEF Project Document template for additional guidance on developing the Results Framework.

#### **Component C: Validation Workshop and Report**

A validation workshop will be held with relevant stakeholders to present, discuss and validate the project activities, and the final draft of the UNDP-GEF project document if possible. A validation workshop report will be prepared for projects with an overall safeguards risk rating of moderate or high.

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### **IV. TOTAL BUDGET AND WORK PLAN FOR THE GEF PPG**

<b>Atlas Award ID:</b>	00116590
<b>Atlas Project/Output ID:</b>	00113663
<b>Award Title:</b>	Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines.
<b>Project ID</b>	00113663
<b>Business Unit:</b>	PHL10
<b>Project Title:</b>	Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines.
<b>PIMS number:</b>	6500
<b>Implementing Partner:</b>	UNDP Philippines

GEF Outcome/Atlas Activity	Responsible Party	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount US\$	Budget Notes
Project preparation grant to finalize the UNDP-GEF project document for project <i>Securing Long-Term</i>	UNDP	62000	GEF TRUSTEE	71200	International Consultants	39,000	A
				71300	Local Consultants	73,500	B1-B5
				71600	Travel	15,000	C
				72500	Supplies	1,000	D

<i>Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines.</i>				74500	Miscellaneous Expenses	<b>1,000</b>	<b>E</b>
				75700	Trainings, workshops	<b>20,500</b>	<b>F</b>
						<b>PROJECT TOTAL</b>	<b>150,000</b>

Budget Notes	Items	Total estimated person days	Budget Per Day	Budget	Remarks
				US\$	
<b>A</b>	International Project Development Specialist	60	650	39,000	Please see Annex 2 for key responsibilities.  The total amount quoted shall be all-inclusive and include all costs components required to perform the deliverables identified in the TORs. The contract price will be fixed output-based price regardless of extension of the herein specified duration. Payment terms around specific and measurable (qualitative and quantitative) deliverables (i.e. whether payments fall in instalments or upon completion of the entire contract). The daily rate is inclusive of international travel to the Philippines.
<b>B1</b>	National NRM and SLM Specialist	60	300	18,000	
<b>B2</b>	National Biodiversity and SLM Policy Specialist	30	300	9,000	
<b>B3</b>	National ESS Specialist	60	300	18,000	
<b>B4</b>	National Stakeholder Engagement and Gender Specialist	60	300	18,000	
<b>B5</b>	PPG Project Coordinator	150	70	10,500	
<b>C</b>	Travel	-	-	15,000	Applies only to <u>local travel</u> of international and national consultants
<b>D</b>	Supplies	-	-	1000	Supplies needed by the PPG e.g. kits for national workshops
<b>E</b>	Miscellaneous	-	-	1000	Other expenses
<b>F</b>	Workshops, Meetings.	-	-	20,500	Costs of national inception workshop, national validation workshop, and stakeholder meetings
	<b>TOTAL</b>			<b>150,000</b>	

## V. GEF PPG ACTIVITIES TIMEFRAME

PPG Activity	Month 1 JUN	Month 2 JUL	Month 3 AUG	Month 4 SEP	Month 5 OCT	Month 6 NOV	Month 7 DEC	Month 8 JAN	Month 9 FEB	Month 10 MAR	Month 11 APR	Month 12 MAY	Month 13 JUN	Month 14 JUL	Month 15 AUG	Month 16 SEP
PPG team recruitment	■	■											■	■	■	■
PPG inception		■											■	■	■	■
Component A: Technical studies			■	■	■								■	■	■	■
PPG mission / landscape visits			■	■	■								■	■	■	■
Component B: ProDoc formulation					■	■	■	■					■	■	■	■
Component C: Validation Workshop									■				■	■	■	■
Delivery of outputs to RTA									■				■	■	■	■
UNDP-NCE clearance processes										■	■	■	■	■	■	■
GEF Secretariat submission deadline												■	■	■	■	■
GEF Secretariat review (indicative)													■	■	■	■

(NOTE: Following the submission to the GEF Secretariat the project proponent and the relevant consultants will finalize the Project Document after addressing the GEF Secretariat Comments, UNDP Headquarters, and the Regional Technical Advisor. Resources allocation for consultants and the PPG activities is inclusive of this period from Month 13<sup>th</sup> to Month 16<sup>th</sup>)



## VI. MANDATORY ANNEXES

### Annex 1: GEF CEO PIF/PPG Approval Letter



**Naoko Ishii**  
CEO and Chairperson

May 4, 2020

Mr. Pradeep Kurukulasuriya  
GEF Executive Coordinator  
United Nations Development Programme  
One United Nations Plaza  
504 East 45<sup>th</sup> St, FF Bldg, 10<sup>th</sup> floor

Dear Mr. Kurukulasuriya:

I am pleased to inform you that I have cleared the project concept detailed below for inclusion in the upcoming work program. I have also approved your request for Project Preparation Grant.

Decision Sought:	Project Identification Form (PIF) Clearance for Work Program Inclusion and Project Preparation Grant Approval
GEFSEC ID:	10532
Agency(ies):	UNDP
Agency(ies) ID:	6500
Focal Area:	Multi Focal Area
Project Type:	Full-sized Project
Country(ies):	Philippines
Name of Project:	Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines
Indicative GEF Project Financing:	\$ 3,273,990
Indicative Agency Fee:	\$ 311,030
PPG:	\$150,000
PPG Fee:	\$14,250
Funding Source:	GEF Trust Fund

Indicative Agency Fee Commitment:					
Agency	Trust Fund	20% to be committed at Council approval (US\$)	50% to be committed at first disbursement (US\$)	30% to be committed at mid-term review (US\$)	Total (US\$)
UNDP	GEFTF	62,206	155,515	93,309	311,030
<b>Total</b>		<b>62,206</b>	<b>155,515</b>	<b>93,309</b>	<b>311,030</b>

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E-mail: [gefceo@thegef.org](mailto:gefceo@thegef.org)  
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*Mr. Pradeep Kurukulasuriya*

- 2 -

*May 4, 2020*

This PIF clearance and PPG approval are based on the understanding that the project is in conformity with GEF Trust Fund focal area strategies and with GEF policies and procedures. Please ensure that your final project document, having fully addressed all Secretariat, STAP, Convention Secretariats and Council comments, will be endorsed within 18 months of Council approval of the work program.

Sincerely,



Naoko Ishii  
Chief Executive Officer and Chairperson  
Global Environment Facility

Copy to: Country Operational Focal Point, GEF Agencies, STAP, Trustee

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**Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG)**

<b>Position, Type and Cost</b>	<b>Role, Deliverables and Qualifications</b>
<p><b>Consultant 1:</b> International Project Development Specialist (GEF PPG Team Leader)</p> <p><b>Type:</b> IC</p> <p><b>Cost per person day:</b> 650US\$</p> <p><b>Number of person days needed:</b> 60 days (12 weeks)</p>	<p><b>Role</b></p> <p>The International Project Development Specialist will be the GEF PPG Team Leader and will be responsible for quality assurance and timely preparation of all reports and documentation, including the finalized UNDP Project Document (ProDoc) and CEO Endorsement Request, with all mandatory and project specific Annexes and supporting documentation. S/he will be responsible for managing all consultants on the GEF PPG Team and coordinating the Team’s work.</p> <p><b>Responsibilities and Deliverables</b></p> <ol style="list-style-type: none"> <li>1) <u>Management of the GEF PPG Team</u> <ol style="list-style-type: none"> <li>a. Define and submit a detailed methodology and work plan in consultation with the other consultants with clear delegation of responsibilities for the International Consultant (IC) and National Consultants (NCs);</li> <li>b. Ensure that project development is participatory, gender-responsive and based on extensive stakeholder engagements; and</li> <li>c. Verify and ensure that all project components are technically sound and cost effective.</li> </ol> </li> <li>2) <u>Preparatory Technical Studies and Reviews (Component A):</u> With inputs from the national consultants, as detailed in their respective TORs:           <ol style="list-style-type: none"> <li>a. Compile baseline/situational analysis for the full-size project (FSP). This will include a precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; definition of GEF incremental value per outcome and output; and presentation of results of the incremental cost-analysis in matrices as appropriate;</li> <li>b. Lead and oversee the stakeholder analysis and consultations, with support from national consultants, and ensure that they are complete and comprehensive including consultations with the private sector;</li> <li>c. Ensure the preparation of the gender analysis and ensure its findings are meaningfully integrated into the project’s strategy, theory of change and results framework;</li> <li>d. Ensure action points, including risk assessments, from the UNDP Social and Environmental Screening Procedure (SESP) at the PIF stage (“pre-screening”) are fully implemented during the PPG, and update that screening in an iterative process throughout the PPG, and as appropriate;</li> <li>e. Oversee the identification of the project sites, with documentation of selection criteria and making sure that geo-referenced data and maps are clearly presented both for targeted protected areas and broader landscapes, as applicable;</li> <li>f. Ensure the design of appropriate project knowledge management processes and platforms, ensuring appropriate linkages to existing mechanisms and knowledge sharing in project landscapes;</li> </ol> </li> </ol>

	<ul style="list-style-type: none"> <li>g. Lead and oversee the identification of opportunities for private sector engagement and co-financing;</li> <li>h. Ensure the preparation of due diligence assessments of identified project sector partners early in the PPG process;</li> <li>i. Ensure the preparation of partner capacity assessments and discussions on management arrangements early in the PPG process;</li> <li>j. Oversee the consultations with partners regarding financial planning; and</li> <li>k. Ensure completion of the Socio-economic survey, including local livelihood analysis, Indigenous Peoples analysis, and Free and Prior Informed Consent (FPIC) consultations and any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs.</li> </ul> <p>3) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u> With inputs from the national consultants, as detailed in their respective TORs, and based on international best practice:</p> <ul style="list-style-type: none"> <li>a. Develop, present and articulate the project's theory of change;</li> <li>b. Develop the Results Framework in line with UNDP-GEF policy;</li> <li>c. Develop a detailed Monitoring and Evaluation Plan and Budget;</li> <li>d. Oversee and ensure the preparation of a comprehensive Stakeholder Engagement Plan;</li> <li>e. Oversee and ensure the preparation of a Gender Action Plan and Budget;</li> <li>f. Oversee and ensure the preparation of an Ethnic Minority Engagement Plan;</li> <li>g. Oversee and ensure the preparation of a Livelihood Action Plan;</li> <li>h. Oversee and ensure the updating of the SESP based on assessments undertaken during Component A, and ensure the development of required environmental and/or social management plan(s) as required;</li> <li>i. Oversee the preparation of the GEF Core Indicators and ensure these are supported by robust and validated data;</li> <li>j. Finalize consultation with the Lead National Consultant and the project's Implementing Partner the supporting activity sets which will enable the achievement of the project output;</li> <li>k. Finalize consultation with the Lead National Consultant and the project's Implementing Partner the project budget and related budget notes;</li> <li>l. Finalize consultation with the Lead National Consultant and the project's Implementing Partner the list of consultants and subcontractors needed for the full project and the related TORs;</li> <li>m. Secure all co-financing letters;</li> <li>n. Prepare the indicative procurement plan;</li> <li>o. Secure and present agreements on project execution and management arrangements and ensure that the project aligns to UNDP-GEF guidance on UNDP execution support;</li> <li>p. Ensure the completion of the required official endorsement letters; and</li> </ul>
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	<p>q. Synthesize all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes, using the templates.<sup>3</sup></p> <p>4) <u>Validation Workshop (Component C):</u></p> <ol style="list-style-type: none"> <li>a. Lead the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans;</li> <li>b. Oversee all necessary revisions that arise during the workshop; and</li> <li>c. Ensure completion of Validation Workshop Report.</li> </ol> <p>5) <u>Final Deliverables:</u></p> <ol style="list-style-type: none"> <li>a. Consolidation of all technical and consultation inputs and comments including from national stakeholders, UNDP, GEF Secretariat, STAP and GEF Council, into a well written and concise UNDP ProDoc with all required sections and Annexes, in line with the standard UNDP-GEF ProDoc template and annotated guidance;</li> <li>b. Completion of the GEF CEO Endorsement Request;</li> <li>c. Finalized SESP (and stand-alone management plans as required);</li> <li>d. All documentation from GEF PPG (including technical reports, meeting minutes, etc.); and</li> <li>e. Validation Workshop Report.</li> </ol> <p><b>Qualifications</b></p> <ul style="list-style-type: none"> <li>▪ Master’s degree or higher in a relevant field, such as land degradation, nature conservation, sustainable land management, environmental policy and management;</li> <li>▪ Minimum 10 years of demonstrable experience in the technical area of sustainable land management, integrated natural resources management and/or nature conservation and in preparing high quality project documents, particularly for UNDP and GEF projects;</li> <li>▪ Excellent written and oral communication skills in English;</li> <li>▪ Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches;</li> <li>▪ Knowledge of and experience in Biodiversity Friendly Agricultural Practices an advantage;</li> <li>▪ Experience working in the Philippines on related initiatives highly desired.</li> </ul>
<p><b><u>Consultant 2:</u></b> National Natural Resources Management (NRM) and</p>	<p><b>Role</b></p> <p>The National NRM and SLM Specialist will serve as the Lead National Consultant of the PPG Team. The consultant will take the lead in coordinating the development of project interventions and baseline assessments at project targeted landscapes in CDORB. The consultant will be responsible for the preparation of a detailed landscape profile report, for assessing site needs and capacities, and for defining</p>

<sup>3</sup> Please verify with the UNDP-GEF team that the correct templates are being used.

<p>Sustainable Land Management Specialist (Lead National Consultant)</p> <p><b>Type:</b> NC</p> <p><b>Cost per person week:</b> 300 US\$</p> <p><b>Number of person weeks needed:</b> 60 days (12 weeks)</p>	<p>appropriate project targets, interventions. The consultant will be responsible for providing inputs on improving the SLM and LDN practices as well as BDFAP in the Philippines. The consultant will be responsible for ensuring coordinated work among PPG National Team members.</p> <p><b>Responsibilities and Deliverables</b></p> <p>1) <u>Management of the PPG team:</u></p> <p>a. Support the GEF PPG Team Leader with management of the PPG Team, ensuring coordination between individual national consultants;</p> <p>2) <u>Preparatory Technical Studies and Reviews (Component A):</u> Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:</p> <p>a. Lead development of the project landscape profile report for project targeted landscapes in CDORB; ecological significance of CDORB and gaps in the current SLM and BDFAP processes and engagements; local activities obstructing or counteracting current/future engagement for active implementation of SLM and BDFAP; baseline initiatives including local government and community related to SLM, LDN and BDFAP as well as livelihood/business development in the CDORB area; opportunities and priorities for community involvement with a specific reference to SLM and BDFAP and establishment of business initiative related to traditional agrobiodiversity systems;</p> <p>b. For the selected project areas determine, through analysis, the appropriate SLM, BDFAP and agrobiodiversity conservation models and techniques including the handling and management of Chemical and Invasive Alien Species;</p> <p>c. Identify appropriate Results Framework indicators and targets related to SLM and BDFAP planning and implementation; collect baseline data for the relevant indicators (including the GEF Core Indicators); choose the means of measurement; and define targets, key risks, and assumptions linked to these indicators;</p> <p>d. Support the stakeholder analysis, consultations and co-financing contributions, particularly with national-level stakeholders and private sector, and ensure that they are complete and comprehensive;</p> <p>e. Determine the projects carbon sequestration benefits using the FAO EX-ACT tool.</p> <p>f. Facilitate the completion of appropriate maps for project landscape and sites, liaising with the provincial and local agricultural bureaus and local academia for provision of the required maps;</p> <p>g. Using the UNDP Capacity Development Scorecard, undertake a capacity assessment to establish baseline scores and propose mid-term and end-of-project targets for institutional and financing capacities to be developed by the Project in close coordination with the other PPG Team members;</p> <p>h. Complete baseline and target values for GEF core indicators related to the project sites;</p> <p>i. Facilitate discussions on climate screening; and</p>
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- j. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader.
- k. Provide relevant quality text sections for the ProDoc package (including annexes) on the aspects mentioned above;
- l. Prepare in consultation with the national consultants and relevant government counterparts, the draft of the supporting activity sets which will enable the achievement of the project outputs;
- m. Prepare in consultation with the national consultants and relevant government counterparts, the draft of the project budget;
- n. Prepare in consultation with the PPG Team Leader, the national consultants and relevant government counterparts, the draft list of consultants and subcontractors needed for the full project and the related TORs;

3) Contributions to the Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):

- a. Provide relevant quality text sections for the ProDoc package on the aspects mentioned above.

4) Validation Workshop (Component C):

- a. Participate in and contribute to the validation workshop.
- b. Support necessary revisions that arise during the workshop, as appropriate.

5) Final Deliverables:

- a. A detailed landscape profile report for the project targeted areas in CDORB, including ecological significance of CDORB and the threats/impacts towards these, baseline activities, project site-based interventions for SLM and BDFAP, key policy, institutional and financing management issues and concerns, assessment of traditional agrobiodiversity farming systems in CDORB and project site maps (to be obtained from government or academia);
- b. EX-ACT tool calculations for carbon sequestration benefits;
- c. Capacity assessment report that includes: (i) baseline Capacity Scorecards of selected national and local stakeholders; (ii) results of assessment of existing SLM and BDFAP-related institutional arrangements and stakeholders' capacities; (iii) results of assessment of existing tools, training modules and capacity-building activities related to multifunctionality and trade-off analysis; and (iv) recommendations and roll-out strategy on capacity-building aspect of the Project;
- d. Climate Screening Tool using the STAP Climate Screening Tool;
- e. Appropriate inputs provided to the Final Project Document and Annexes, as agreed with the PPG Team Leader.

**Qualifications**

- Advanced university degree in a relevant field, such as natural resource management, Sustainable Land Management, land use planning or environmental management;

	<ul style="list-style-type: none"> <li>▪ Minimum of 10 years of demonstrated relevant professional experience in SLM and BDFAP, land use planning and/or other relevant areas related to sustainable management of the productive agricultural landscape in the Philippines;</li> <li>▪ Demonstrated understanding of political, legal and institutional context for SLM, LDN and BDFAP in the Philippines and their implication for effective community involvement, multifunctionality and trade-off analysis;</li> <li>▪ Experience conducting climate screening using the STAP climate screening tool;</li> <li>▪ Experience using EX-ACT Tool to estimate carbon emission avoided or sequestered by the project;</li> <li>▪ Experience developing UNDP Capacity Scorecard;</li> <li>▪ Experience working with UNDP and/or on GEF projects an advantage; and</li> <li>▪ Excellent written and oral communication skills in English and fluency in Filipino.</li> </ul>
<p><b>Consultant 3:</b> National Biodiversity and SLM Policy Specialist</p> <p><b>Type:</b> NC</p> <p><b>Cost per person-week:</b> 300 US\$</p> <p><b>Number of person days needed:</b> 30 days (6 weeks)</p>	<p><b>Role</b> The National Policy Specialist will be responsible for the assessment and recommendations on improving the policy environment related to integrated landscape management, LDN, SLM and BDFAP as well as those pertaining to financing and incentive mechanisms.</p> <p><b>Responsibilities and Deliverables</b></p> <p>1) <u>Preparatory Technical Studies and Reviews (Component A):</u> Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:</p> <ol style="list-style-type: none"> <li>a. Review of international, national and local frameworks and policies related to integrated landscape management, LDN, SLM and BDFAP, including preparation of recommendations on improving enabling environment for an integrated landscape management approach on achieving LDN and BDFAP targets.</li> <li>b. Identify appropriate Results Framework indicators and targets related to SLM and BDFAP enabling environment; collect baseline data for the relevant indicators (including the GEF Core Indicators); choose the means of measurement; and define targets, key risks, and assumptions linked to these indicators;</li> <li>c. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader.</li> </ol> <p>2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u></p> <ol style="list-style-type: none"> <li>a. Provide relevant quality text sections for the ProDoc package (including annexes) on the aspects mentioned above;</li> <li>b. Prepare in consultation with the national consultants and relevant government counterparts, the draft of the supporting activity sets which will enable the achievement of the project outputs;</li> </ol>



	<p>c. Prepare in consultation with the PPG Team Leader, the national consultants and relevant government counterparts, the draft list of consultants and subcontractors needed for the full project and the related TORs;</p> <p>3) <u>Validation Workshop (Component C):</u></p> <p>a. Contribute to the validation workshop; and</p> <p>b. Support all necessary revisions that arise during the workshop, as appropriate.</p> <p>4) <u>Final Deliverables:</u></p> <p>a. A report on the detailed baseline/situational analysis on the Philippines integrated landscape management, SLM, LDN and BDFAP frameworks and policy;</p> <p>b. Recommendations on improving enabling environment for an integrated landscape management approach on achieving LDN and BDFAP targets</p> <p>c. Draft of the underlying activities needed for achieving project outputs under Project Outcome 1</p> <p>d. Additional appropriate inputs provided to the Project Document and Annexes, as agreed with PPG Team Leader.</p> <p><b>Qualifications</b></p> <ul style="list-style-type: none"> <li>▪ Master’s degree or higher in a relevant field, such as political science, environmental policy and management, or other related fields;</li> <li>▪ Minimum 10 years of demonstrable experience in the field of policy review and development dealing biodiversity, sustainable land management, and integrated natural resources management;</li> <li>▪ Demonstrated understanding of political, legal and institutional context and priorities for SLM, LDN and BDFAP in the Philippines;</li> <li>▪ Experience working with UNDP and/or on GEF projects an advantage; and</li> <li>▪ Excellent written and oral communication skills in English and fluency in Filipino.</li> </ul>
<p><b><u>Consultant 4:</u></b> National Environmental and Social Safeguard Specialist</p> <p><b>Type:</b> NC</p> <p><b>Cost per person-week:</b> 300 US\$</p> <p><b>Number of person days needed:</b> 60 days (12 weeks)</p>	<p><b>Role</b></p> <p>The National Environmental and Social Safeguard (ESS) Specialist will develop mandatory project Annexes related to application of social and environmental safeguards, Indigenous Peoples (IP), livelihoods and sustainable financing as well as support adherence of project development to UNDP’s SESP and specific requirements, as appropriate. The consultant will be social inclusion expert with experience in local and IP community engagement, sustainable livelihoods and financing.</p> <p><b>Responsibilities and Deliverables</b></p> <p>1) <u>Preparatory Technical Studies and Reviews (Component A):</u> Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:</p> <p>a. Review the SESP pre-screening of the PIF; complete the UNDP Social and Environmental Screening Procedure (SESP), and lead the detailed assessment of all project risks including consultations with local stakeholders;</p>

	<ul style="list-style-type: none"> <li>b. With the Stakeholder Engagement and Gender Specialist, assess presence of Indigenous Peoples communities within project landscapes and their interests. If present, assess potential impacts of the project on rights and interests, lands, territories, resources, and traditional livelihoods and determine when Free Prior Informed Consent (FPIC) applies in accordance with national contexts and preferences. Carry out consultations with communities at demonstration landscape to assess level of understanding and capacity to give consent and identify community preferences for FPIC process. Based on these assessments, and if relevant, integrate relevant matters as needed including FPIC into project design and into the comprehensive Indigenous Peoples Plan;</li> <li>c. Identify an appropriate design of a grievance mechanism for the project based on the existing government and UNDP mechanisms.</li> <li>d. Prepare baseline/situational analysis on appropriate SLM and BDFAP livelihood/business solutions and initiatives that can be incorporated under the project to help upscale community involvement in the overall sustainable management of the productive agricultural landscape in CDORB.</li> <li>e. Prepare analysis on how national and provincial policy and planning, including operational policy could be aligned to optimize different forms of revenue generation options for local communities in the project targeted landscapes (This, and point a above, will cover Outcome 2 of the draft PIF);</li> <li>f. Identify appropriate Results Framework indicators and targets related to alternative financing outputs and outcomes; collect baseline data for the relevant indicators; choose the means of measurement; and define targets, key risks, and assumptions linked to these indicators; and</li> <li>g. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader.</li> </ul> <p>2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u></p> <ul style="list-style-type: none"> <li>a. Complete the SESP and Environment and Social Management Framework (ESMF), based on assessments undertaken during Component A and detailed development of project interventions, and identify management measures to mitigate risks to be incorporated into the ProDoc;</li> <li>b. Prepare an Indigenous Peoples Plan, which includes FPIC as applicable in accordance with national contexts and preferences and ensure it is socially Inclusive and gender responsive;</li> <li>c. Conduct a socio-economic survey and prepare the Livelihood Action Plan and ensure it is socially inclusive and gender responsive;</li> <li>d. Outline the project’s grievance mechanism based on the existing government and UNDP mechanisms;</li> <li>e. Support the agreements on project management arrangements and ensure that environment and social safeguards are adequately incorporated into these arrangements.</li> </ul> <p>3) <u>Validation Workshop (Component C):</u></p>
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	<ul style="list-style-type: none"> <li>a. Contribute to the validation workshop; and</li> <li>b. Support all necessary revisions that arise during the workshop, as appropriate.</li> </ul> <p>4) <u>Final Deliverables:</u></p> <ul style="list-style-type: none"> <li>a. Finalized Social and Environmental Screening (SESP)</li> <li>b. Finalized ESMF</li> <li>c. Indigenous Peoples Plan (including socio-economic assessment)</li> <li>d. Livelihood Action Plan</li> <li>e. Report on appropriate SLM and BDFAP-based livelihoods/business solutions and possible revenue generation options for local communities</li> <li>f. Recommended project interventions related to financial mechanism development under the Project’s Outcome 2</li> <li>g. Appropriate inputs to the final UNDP-GEF project document based on guidance from the PPG Team Leader</li> </ul> <p><b>Qualifications</b></p> <ul style="list-style-type: none"> <li>▪ Master’s degree or higher in a relevant field, such as Community Development/Development Studies/Natural Science/Human Ecology/Political Ecology/Sociology/Anthropology;</li> <li>▪ Minimum 10 years of demonstrable experience in the technical area stakeholder engagement, community development, and social and environmental safeguards risk assessment and mitigation</li> <li>▪ Prior experience in the safeguards assessments and processes of international organizations and donors, such as World Bank, UNDP, etc;</li> <li>▪ Demonstrated understanding of the links between sustainable development, financing and socio-economic issues;</li> <li>▪ Demonstrated experience working on policy and programmatic issues with national and local governments and civil society organizations including community organizations;</li> <li>▪ Demonstrated experience in carrying out stakeholder consultations, community engagement, and livelihood development is highly desired</li> <li>▪ Demonstrated experience in carrying out consultations with Indigenous People related to Free Prior Informed Consent (FPIC), protection of cultural heritage etc. is highly desired;</li> <li>▪ Experience with project development and results-based management methodologies is highly desired;</li> <li>▪ Excellent analytical, writing, advocacy, presentation, and communications skills are required; and</li> <li>▪ Excellent written and oral communication skills in English and fluency in Filipino.</li> </ul>
<p><b>Consultant 5:</b> National Stakeholder Engagement and Gender Specialist</p>	<p><b>Role</b> The National Stakeholder Engagement and Gender Specialist will develop mandatory project Annexes related to application of stakeholder engagement and gender. The consultant will be a gender and social inclusion expert with experience in-depth gender analysis and local community engagement.</p> <p><b>Responsibilities and Deliverables</b></p>

<p><b>Type:</b> NC</p> <p><b>Cost per person-week:</b> 300 US\$</p> <p><b>Number of person days needed:</b> 60 days (12 weeks)</p>	<ol style="list-style-type: none"> <li>1) <u>Preparatory Technical Studies and Reviews (Component A):</u> Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including: <ol style="list-style-type: none"> <li>a. Prepare activity designs of national and local stakeholder consultations to be undertaken;</li> <li>b. Lead the conduct of national and local stakeholder consultations</li> <li>c. Conduct assessment of the level awareness of stakeholders on LDN, biodiversity management, SLM and BDFAP and, related policies and procedures</li> <li>d. Conduct assessment of existing national and local Monitoring, Evaluation and Learning (MEAL) systems on integrated landscape management, LDN, SLM and BDFAPs</li> <li>e. Prepare the gender analysis and action plan and work closely with the GEF PPG Team Leader to ensure its findings are meaningfully integrated into the project’s strategy, theory of change and results framework;</li> <li>f. Prepare the stakeholder analysis and develop into the comprehensive Stakeholder Engagement Plan, as well as work closely with the GEF PPG Team Leader to ensure its findings are meaningfully integrated into the project’s strategy, theory of change and results framework;</li> <li>g. Advise on gender responsive stakeholder analysis and consultations and ensure that they are complete and comprehensive;</li> <li>h. Facilitate discussions and ensure securing of signed co-financing letters; and</li> <li>i. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader.</li> </ol> </li>   <li>2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u> <ol style="list-style-type: none"> <li>a. Prepare the Gender Action Plan, with appropriate budget and identification of appropriate project interventions to ensure gender mainstreaming including at project demonstration sites;</li> <li>b. Using the findings from the gender analysis, provide inputs to the project’s results framework and theory of change; ensure gender considerations are integrated into the project’s theory of change;</li> <li>c. Prepare the Stakeholder Engagement Plan and ensure it is socially Inclusive and gender responsive;</li> <li>d. Support the agreements on project management arrangements and ensure that gender and appropriate stakeholders are adequately incorporated into these arrangements.</li> </ol> </li>   <li>3) <u>Validation Workshop (Component C):</u> <ol style="list-style-type: none"> <li>a. Lead the conduct of the validation workshop; and</li> <li>b. Support all necessary revisions that arise during the workshop, as appropriate.</li> </ol> </li>   <li>4) <u>Final Deliverables:</u> <ol style="list-style-type: none"> <li>a. Knowledge, Attitudes, Practices (KAP) framework;</li> <li>b. Activity designs of national and local stakeholder consultations;</li> </ol> </li> </ol>
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	<ul style="list-style-type: none"> <li>c. Stakeholder Consultation Report, including FPIC consultations;</li> <li>d. Stakeholder Analysis and Engagement Plan;</li> <li>e. Gender Analysis and Action Plan;</li> <li>f. Assessment of existing MEAL system and recommendations on enhancing this during Project implementation;</li> <li>g. Signed co-financing letters;</li> <li>h. Appropriate inputs to the final UNDP-GEF project document based on guidance from the PPG Team Leader including on gender-responsive consultation and consultations with local communities and any Indigenous Peoples present within the demonstration landscape.</li> </ul> <p><b>Qualifications</b></p> <ul style="list-style-type: none"> <li>▪ Master’s degree or higher in a relevant field, such as gender studies, gender and development, women and environment, or women and sustainable development;</li> <li>▪ Minimum 10 years of demonstrable experience in the technical area of gender mainstreaming, stakeholder engagement and community development;</li> <li>▪ Experience carrying out participatory gender analysis; experience collecting and formulating gender responsive indicators and sex-disaggregated data and preparing gender responsive project analysis; developing gender action plans;</li> <li>▪ Prior experience in the stakeholder analysis and engagement processes of international organizations and donors, such as World Bank, UNDP, among others;</li> <li>▪ Demonstrated understanding of the links between sustainable development, social and gender issues;</li> <li>▪ Demonstrated experience working on policy and programmatic issues with national and local governments and civil society organizations including community organizations;</li> <li>▪ Demonstrated experience in carrying out stakeholder consultations, community engagement, and livelihood development is highly desired</li> <li>▪ Demonstrated experience in carrying out consultations with Indigenous People related to Free Prior Informed Consent (FPIC), protection of cultural heritage etc. is highly desired;</li> <li>▪ Experience with project development and results-based management methodologies is highly desired;</li> <li>▪ Excellent analytical, writing, advocacy, presentation, and communications skills are required; and</li> <li>▪ Excellent written and oral communication skills in English and fluency in Filipino.</li> </ul>
<p><b><u>Consultant 6:</u></b>  <b><u>PPG Project</u></b>  <b><u>Coordinator</u></b></p> <p><b>Type:</b> NC</p>	<p><b>Role</b></p> <p>The PPG Project Coordinator will facilitate the PPG process and ensure coordination between the relevant parties involved in the formulation process. The consultant will liaison with national counterparts, as well as the international and national consultants and assist in the timely implementation of the PPG process. The consultant will also provide support for the Project Document preparation, as needed, and undertake secondary data gathering.</p>

<p><b>Cost per person-week:</b> 70 US\$</p> <p><b>Number of person days needed:</b> 150 days (30 weeks)</p>	<p><b>Responsibilities and Deliverables</b></p> <ol style="list-style-type: none"> <li>1) <u>Preparatory Technical Studies and Reviews (Component A):</u> <ol style="list-style-type: none"> <li>a. Coordination and liaison with counterparts (government offices, non-governmental organizations, donor agencies, private sector, UNDP, UN agencies) towards project implementation;</li> <li>b. Coordination and liaison with international and national consultants;</li> <li>c. Facilitate and assist in the preparation of Harmonized Approach on Cash Transfer (HACT), Partner Capacity Assessment Tool (PCAT) among concerned partner agencies and due diligence of private sector partners;</li> <li>d. Facilitate conduct of Technical Working Group (TWG) meetings;</li> <li>e. With the PPG Team Leader, prepare the Project budget, Multi-Year Work Plan and, Monitoring &amp; Evaluation Plan;</li> <li>f. Organize meetings and technical discussions; prepare activity designs (including program and budget), invitations and materials needed for the meetings and technical discussions;</li> <li>g. Document the proceedings during stakeholder consultations and workshops;</li> <li>h. Ensure preparation of Annual Work Plan and prepare regular reports for submission to UNDP CO and RBAP;</li> <li>i. Provide research support for Project Document preparation and undertake secondary data gathering, as needed; and</li> <li>j. Other tasks deemed necessary for project delivery and completion.</li> </ol> </li> <li>2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u> <ol style="list-style-type: none"> <li>a. Ensure the UNDP clearance of HACT Report for project partners</li> <li>b. Ensure the UNDP clearance of PCAT forms for project partners</li> <li>c. Ensure the UNDP clearance of Due Diligence for private sector partners</li> <li>d. Interact with lead consultants and consolidate Project budget, Multi-Year Work Plan and, M&amp;E Plan</li> </ol> </li> <li>3) <u>Validation Workshop (Component C):</u> <ol style="list-style-type: none"> <li>a. Document the proceedings and facilitate the workshop preparation and process</li> <li>b. Contribute to the validation workshop; and</li> <li>c. Support all necessary revisions that arise during the workshop, as appropriate.</li> </ol> </li> <li>4) <u>Final Deliverables:</u> <ol style="list-style-type: none"> <li>a. HACT Report for project partners</li> <li>b. PCAT forms for project partners</li> <li>c. Due Diligence for private sector partners</li> <li>d. Inputs to ProDoc, including Project budget, Multi-Year Work Plan and, M and E Plan</li> <li>e. Activity designs and documentation of proceedings of TWG and stakeholder consultations</li> </ol> </li> </ol>
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	<p><b>Qualifications</b></p> <ul style="list-style-type: none"><li>▪ A University degree in Human Ecology, Economics, Public Administration or Environmental Science; or any related course</li><li>▪ At least 5 years' experience of administrative and technical support in national or international level for programme/project development, implementation, and management (particularly for energy and environment, climate change adaptation and disaster reduction);</li><li>▪ Prior experience with UNDP and knowledge of UNDP's internal systems and policies, including HACT and PCAT Assessments, is highly desirable;</li><li>▪ Demonstrated work experience in working with government agencies and funding institutions;</li><li>▪ Experience in the usage of computers and office software packages (MS Word, Excel, etc.);</li><li>▪ Proficiency in English. Working knowledge of other UN language desirable</li></ul>
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